

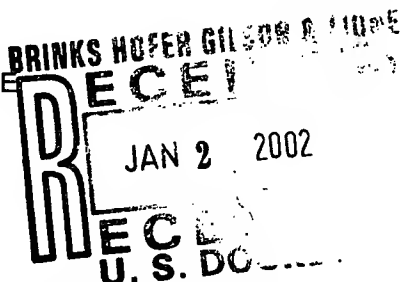


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/995,531 | 11/27/2001 | Tatsuji Nagaoka | 9683/94 |

BRINSK HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610



CONFIRMATION NO. 2033

FORMALITIES LETTER



OC000000007197946

Date Mailed: 12/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

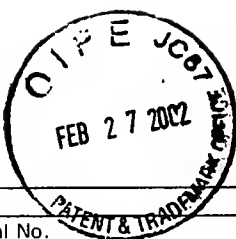
- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2002 JAD001 00000090 09995531 740.00 OP 130.00 OP 01 FC:101 02 FC:105



HH

Sector #

| TRANSMITTAL LETTER | | | Case No. 9683/94 |
|--|----------------------------------|----------------------------|------------------------|
| Serial No. 09/995,531 | Filing Date November 27, 2001 | Examiner To Be Assigned | Group Art Unit 2611 |
| Inventor(s) Tatsuji Nagaoka et al. | | | |
| Title of Invention Method for Provision of Program and Broadcasting System and Server | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate), Notice to File Missing Parts of Nonprovisional Application Filing Date Granted, Response to Notice to File Missing Parts of Nonprovisional Application Filing Date Granted (in duplicate), Declaration, Power of Attorney, Assignment Recordal, Assignment, Checks for \$870 and \$40, and Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

Small Entity

| Rate | Add'l Fee |
|-----------------|-----------|
| x \$9 = | |
| x 42 = | |
| + \$140 = | |
| Total add'l fee | \$ |

Other Than Small Entity

| Rate | Add'l Fee |
|-----------------|-----------|
| x \$18 = | |
| x \$84 = | |
| + \$280 = | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amounts of \$870 and \$40 to cover the filing fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

Respectfully submitted,

Gregory H. Zazis

Gregory H. Zazis
Registration No. 48,059
Agent for Applicants

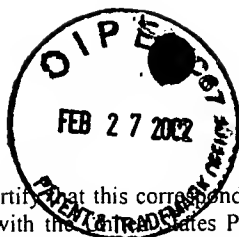
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on February 13, 2002.

Date:

February 13, 2002

Signature:

Gregory H. Zazis



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on February 13, 2002

Date of Deposit

Gregory H. Zayia - Reg. No. 48,059

Name of applicant, assignee or
Registered Representative

Gregory Zayia

Signature

February 13, 2002

Date of Signature

Case No. 9683/94

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tatsuji Nagaoka et al.

Serial No.: 09/995,531

Attention: Manager,
Application Branch

Filed: November 27, 2001

For: Method for Provision of
Program and Broadcasting
System and Server

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:


In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted mailed December 14, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:

- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$870 for:
- ☒ Filing Fee of \$740.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$_____.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment Recordal, Assignment, Check for \$40, and Return Postcard.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: February 13, 2002



Gregory H. Zavala
Registration No. 48,059
Agent for Applicants

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